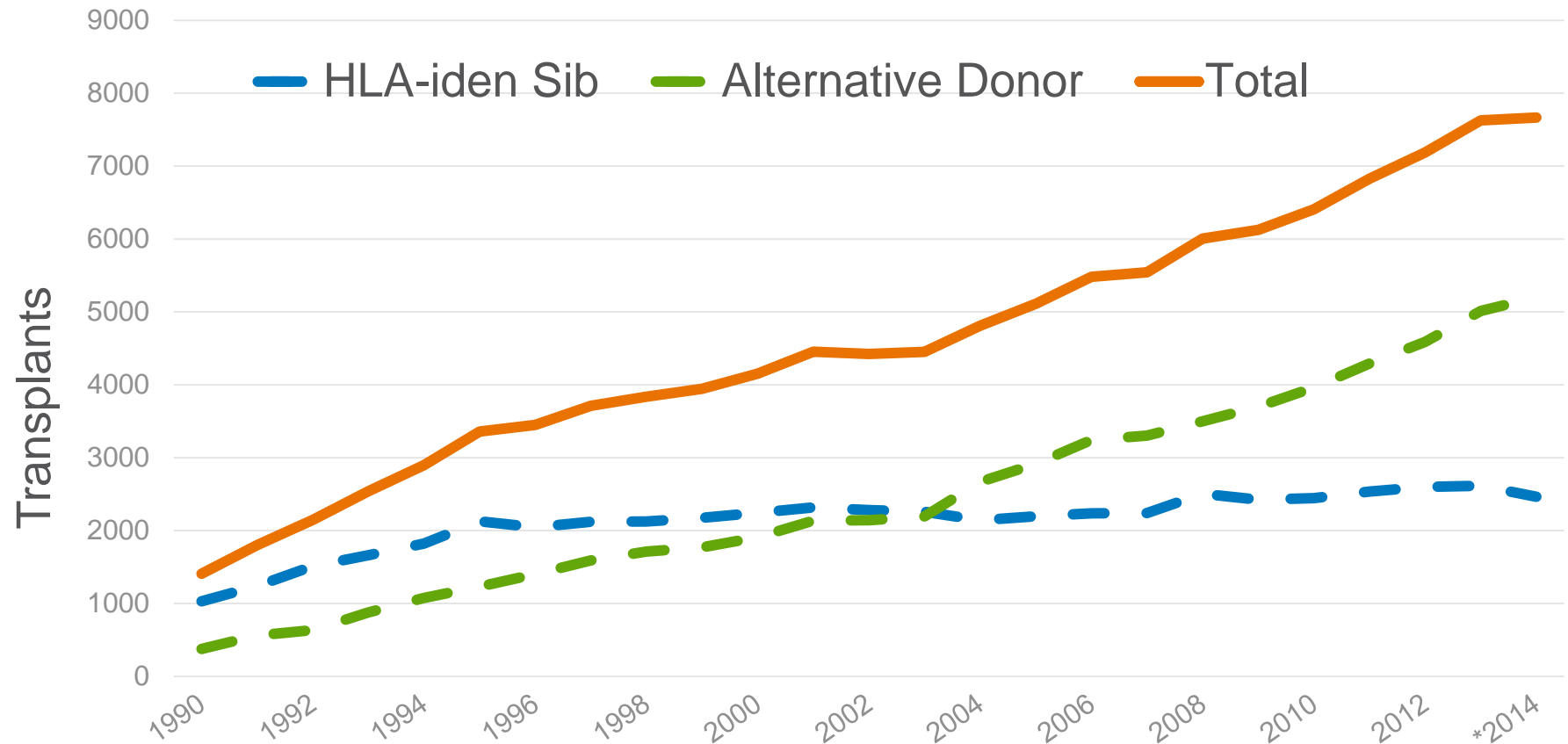


Haploidentical Transplantation: The Answer to our Donor Problems?

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CIBMTR, Medical College of Wisconsin
January 2017

Allogeneic Transplant Recipients in the US, by Donor Type



Donor Availability

- HLA-matched relative 25-30%
- Unrelated donor 40-90%
 - Optimally selected* 10-60%

*HLA-matched, permissive DP mismatch, age <30, (ABO, CMV, sex)

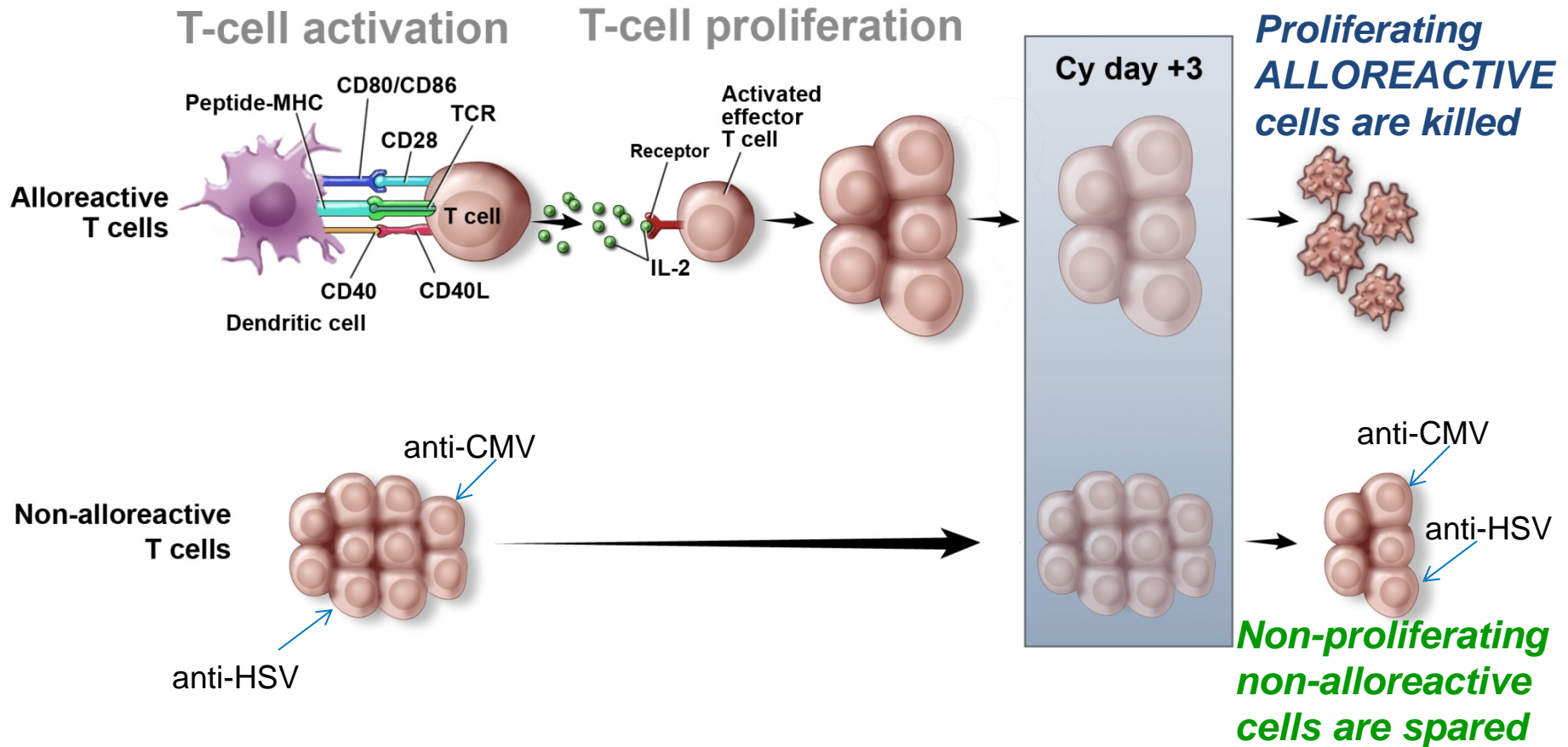
Patients Without an Adult Donor May be Helped by Banked Umbilical Cord Blood

- Advantages:
 - Immediately available (important for patients with rapidly progressive diseases)
 - No risk to donor
 - Allows more HLA-mismatch with lower risk of GVHD
- Disadvantages:
 - Low cell numbers - inadequate cell dose for many adults, requiring two units (expensive)
 - Slow hematopoietic recovery and higher risk of graft failure
 - High cost

The “New” Alternative – Haploidentical

- In Europe, haploidentical transplants using T-cell depleted peripheral blood grafts have been used for a small but important proportion of transplants
- In the US, very few haploidentical transplants were performed until the last five years
 - No approved CD34 selection or T-cell depletion device available
- Introduction of the Hopkins approach using posttransplant cyclophosphamide increased interest
 - Technically simple
 - Costs similar to HLA-identical sibling transplant

Cyclophosphamide-induced tolerance



BMT CTN PROTOCOL #0603

A Phase II Trial of Reduced Intensity
Conditioning and Transplantation of Partially
HLA-Mismatched Bone Marrow for Patients
with Hematologic Malignancies

BMT CTN PROTOCOL #0604

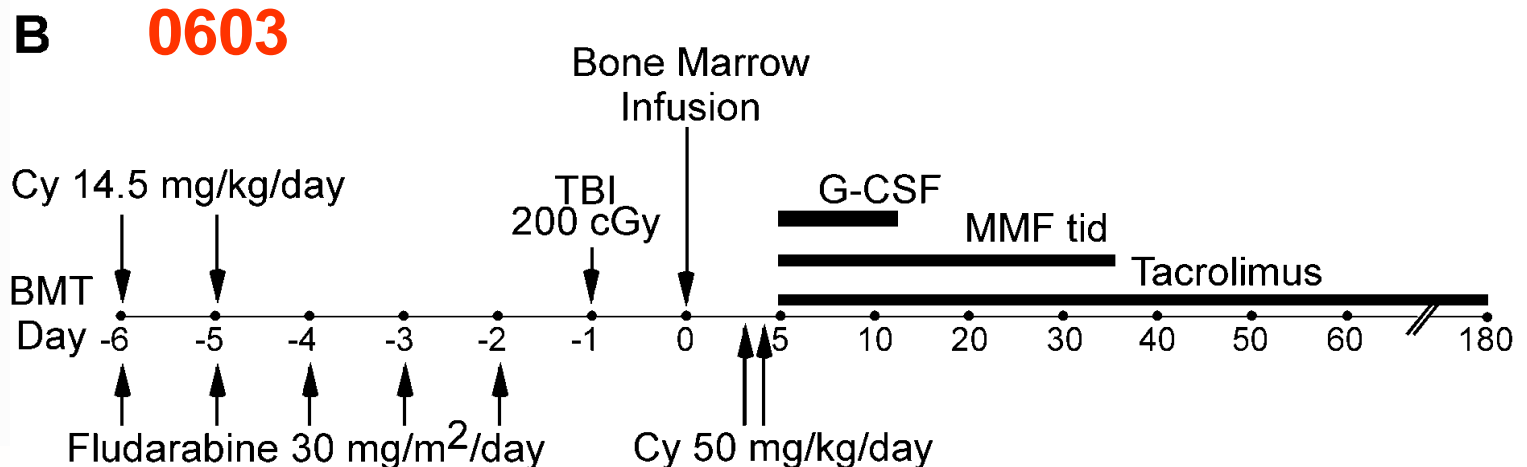
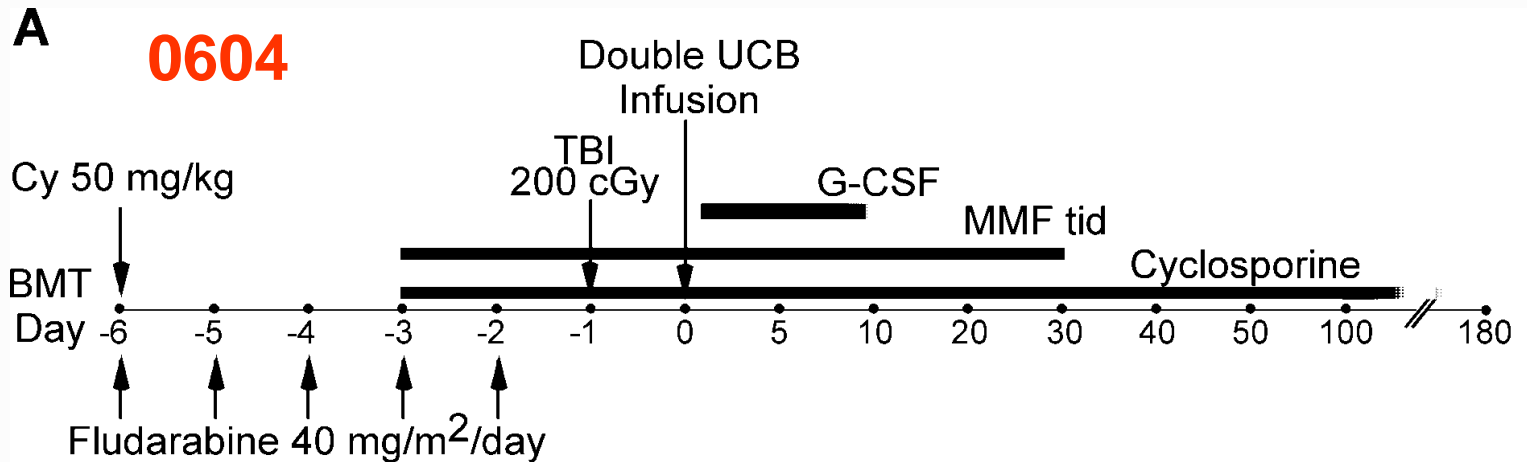
A Phase II Trial of Reduced Intensity
Conditioning and Transplantation of Umbilical
Cord Blood from Unrelated Donors in Patients
with Hematologic Malignancies

Brunstein, Fuchs, et al Blood 2011

The precursors to BMT CTN 1101: BMT CTN 0603 (haplo) and BMT CTN 0604 (double cord)

- Parallel phase II trials (n=50/trial) of alternative donor stem cell transplantation after fludarabine/200 cGy TBI-based conditioning
- Acute leukemia in CR, lymphoma
- Hypothesis: Survival at six months is >60% (CIBMTR benchmark for unrelated donor HCT with reduced intensity conditioning)
- Trials conducted at 16 or 17 centers each, completed within 18 months

Treatment Regimens

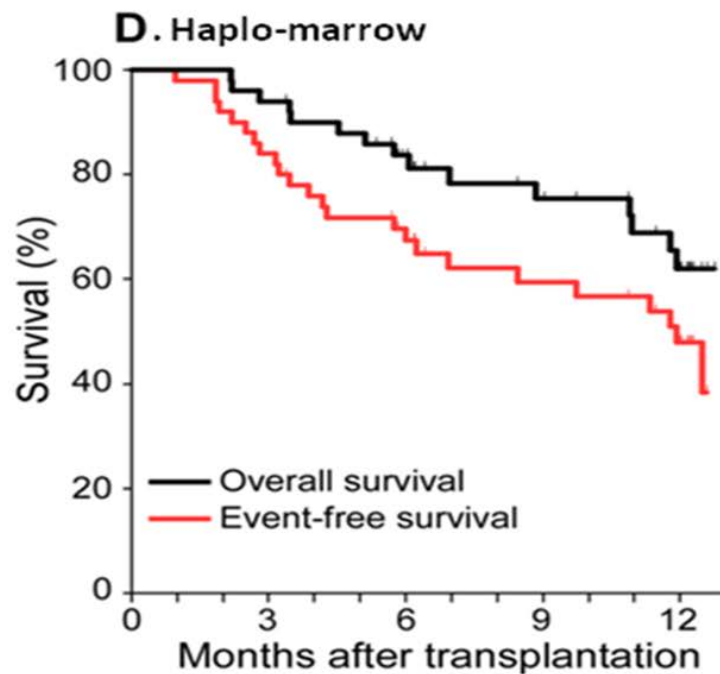
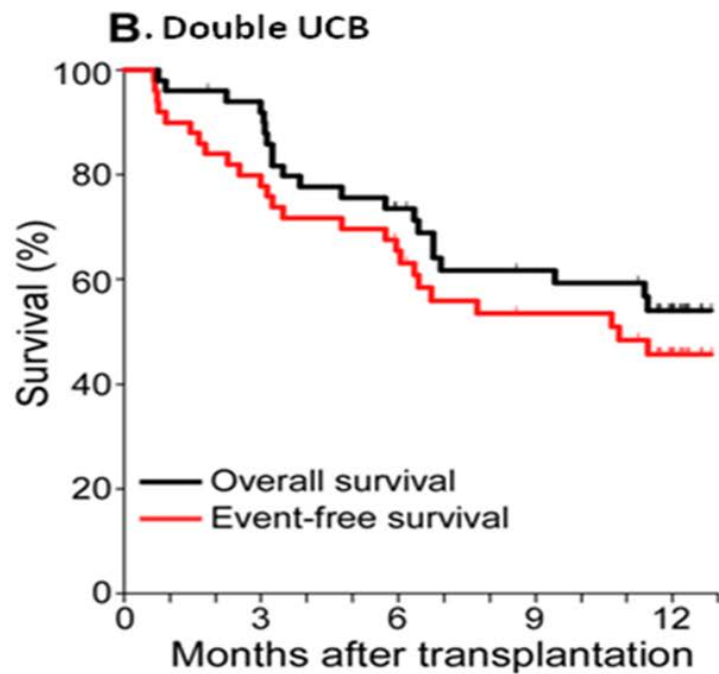
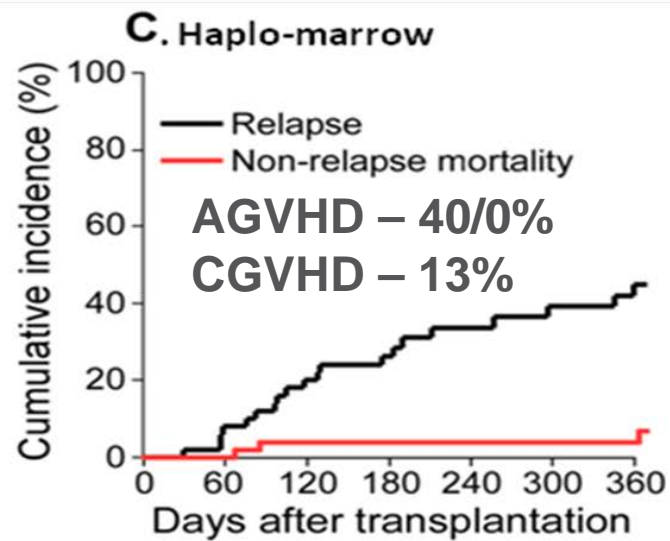
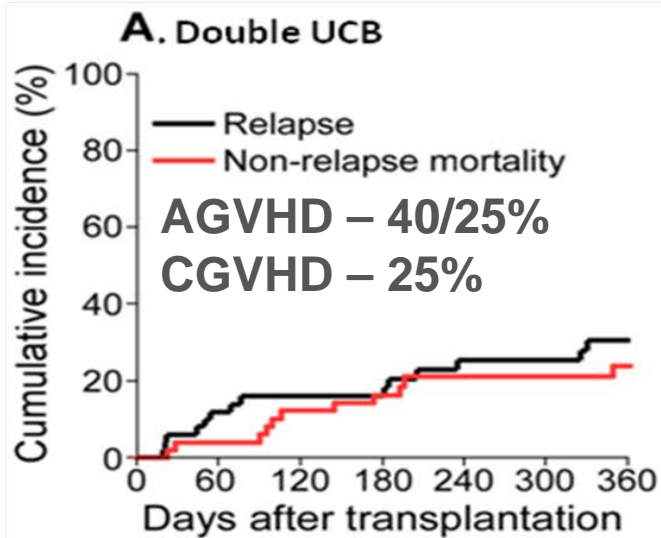


BMT CTN 0603 and 0604 demographics

Cord blood patients older, more AML

Table 1. Patient and disease characteristics

| | CTN 0604 dUCB | CTN 0603 Haplo-marrow |
|--|------------------|--------------------------|
| Number of patients | 50 | 50 |
| Age, y | | |
| Median | 58 | 48 |
| Range | 16-69 | 7-70 |
| Primary disease | | |
| Acute lymphoblastic leukemia | 6 (12%) | 6 (12%) |
| Acute myelogenous leukemia | 29 (58%) | 22 (44%) |
| Biphenotypic/undifferentiated leukemia | 1 (2%) | 3 (6%) |
| Burkitt lymphoma | 1 (2%) | 0 |
| Hodgkin lymphoma | 5 (10%) | 7 (14%) |
| Large-cell lymphoma | 3 (6%) | 8 (16%) |
| Marginal zone B-cell lymphoma | 1 (2%) | 1 (2%) |
| Follicular non-Hodgkin lymphoma | 4 (8%) | 3 (6%) |





BMT CTN Trials 0603/0604

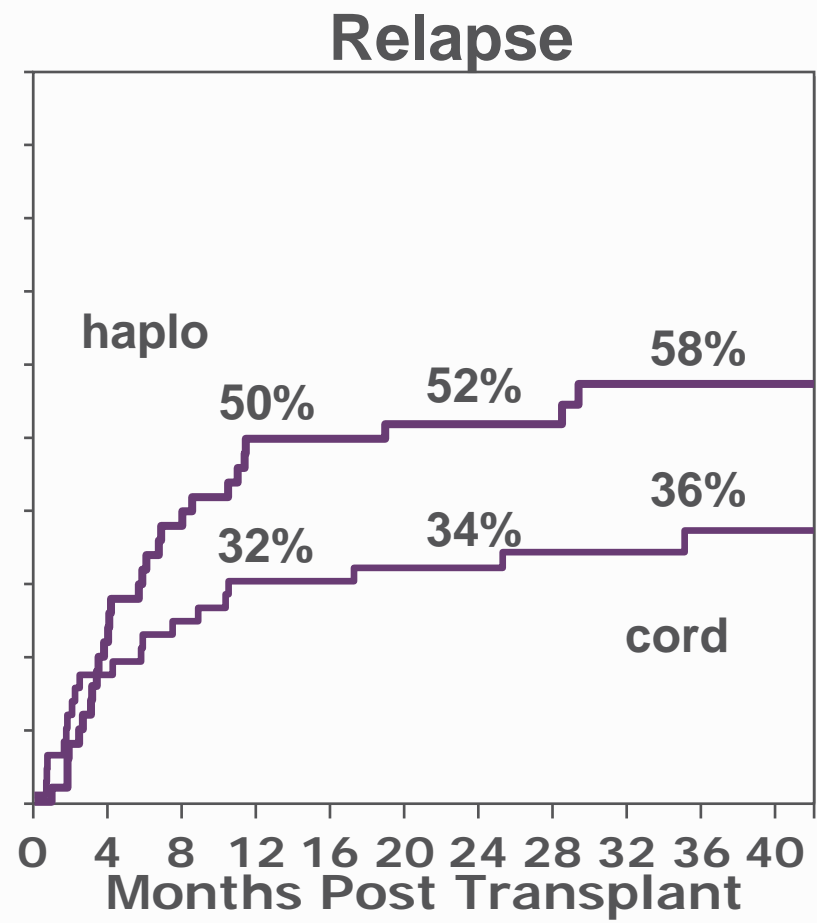
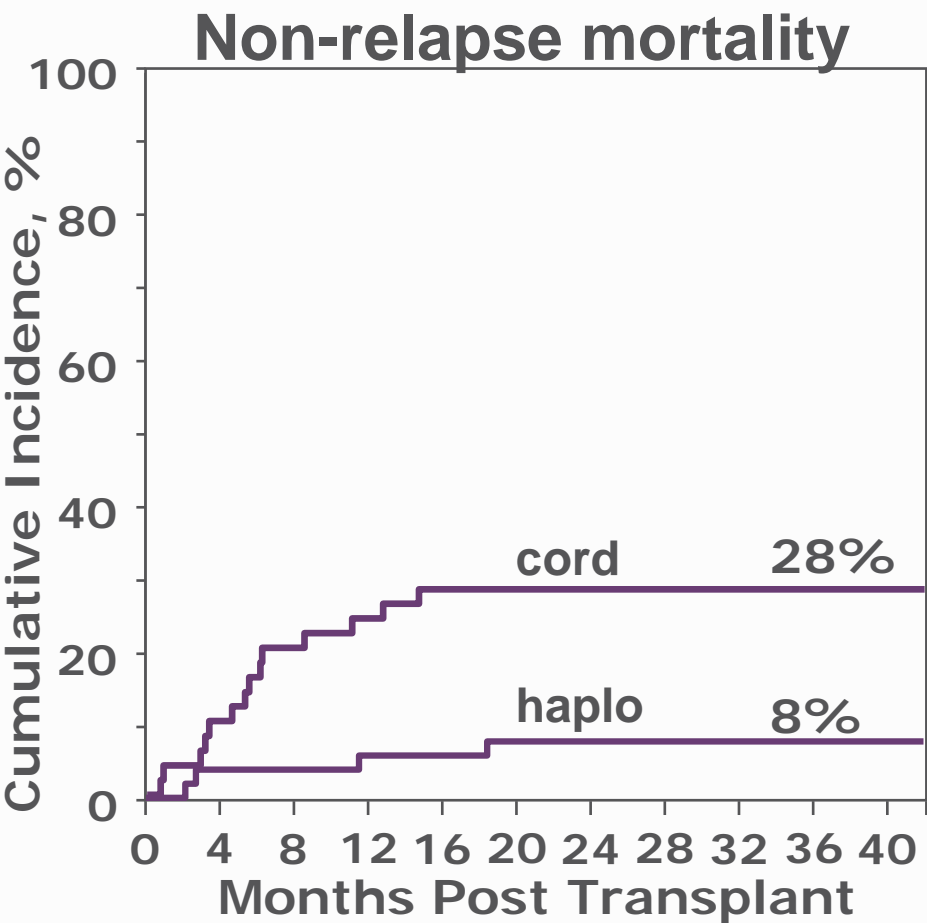
Extended Follow-up

Biol Blood Marrow Transplant, 2014; 20(10): 1485-92



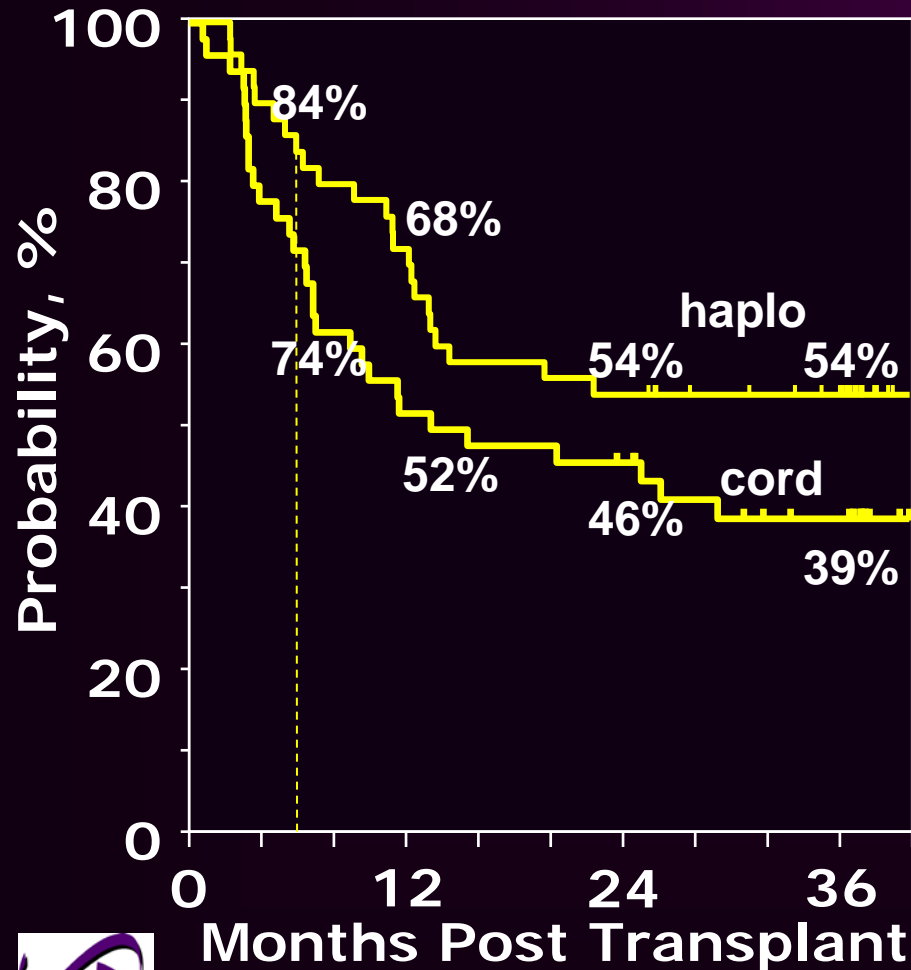
BMT CTN 0603/0604

Non-relapse mortality and relapse

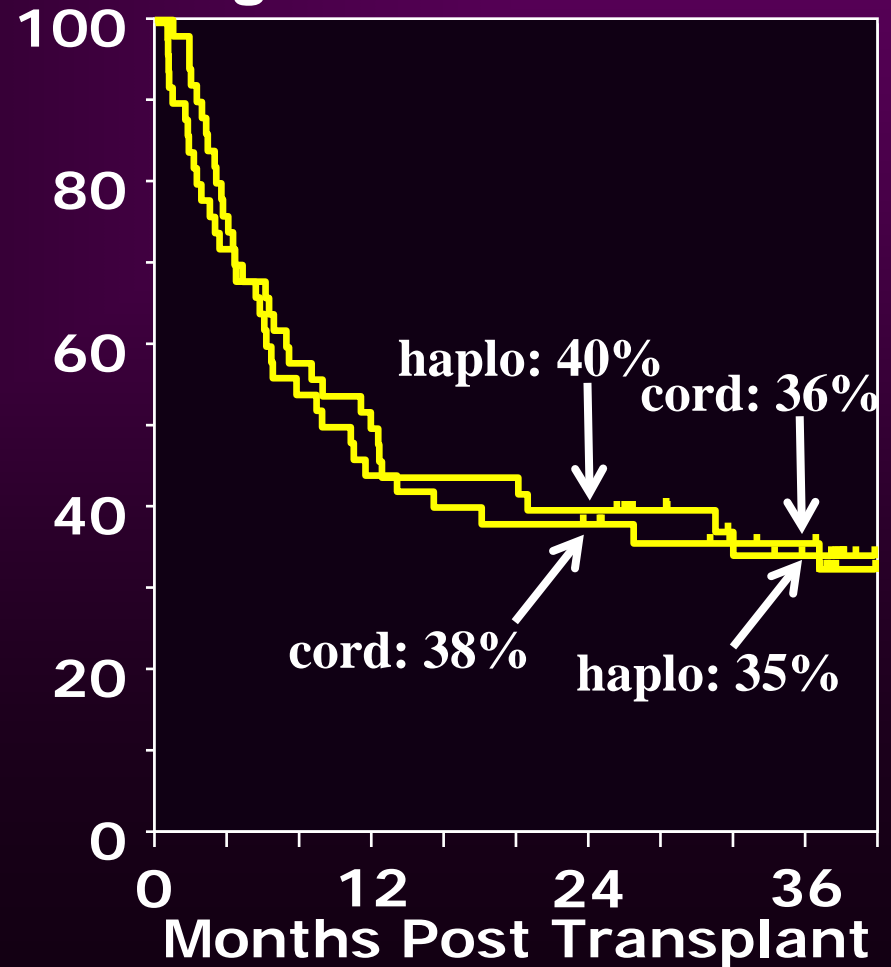


Comparisons of clinical outcomes: UCB vs Haplo (BMT CTN 0603/0604)

Overall survival



Progression-free survival



The results of BMT CTN 0603 and 0604 established the following

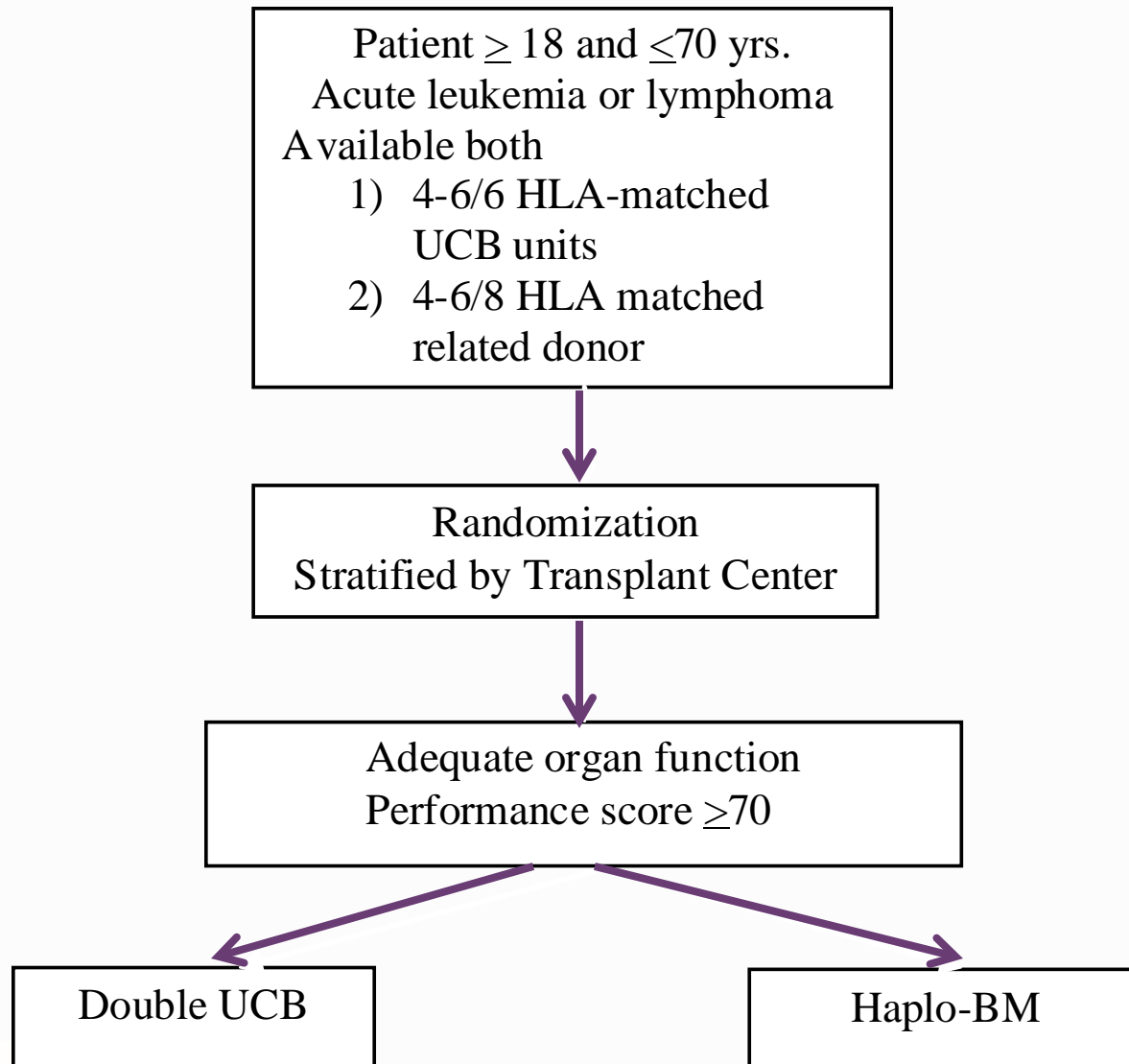
- A. The trials were not intended to be compared directly to each other
- B. Progression-free and overall survival rates are similar after haplo and cord blood transplants
- C. Pattern of treatment failure differed by donor source
 - Non-relapse mortality is higher after cord blood than after haplo transplants
 - Relapse is higher after haplo than after cord blood transplant

The results of BMT CTN 0603 and 0604 provided equipoise for a randomized phase III clinical trial with progression-free survival as the primary endpoint

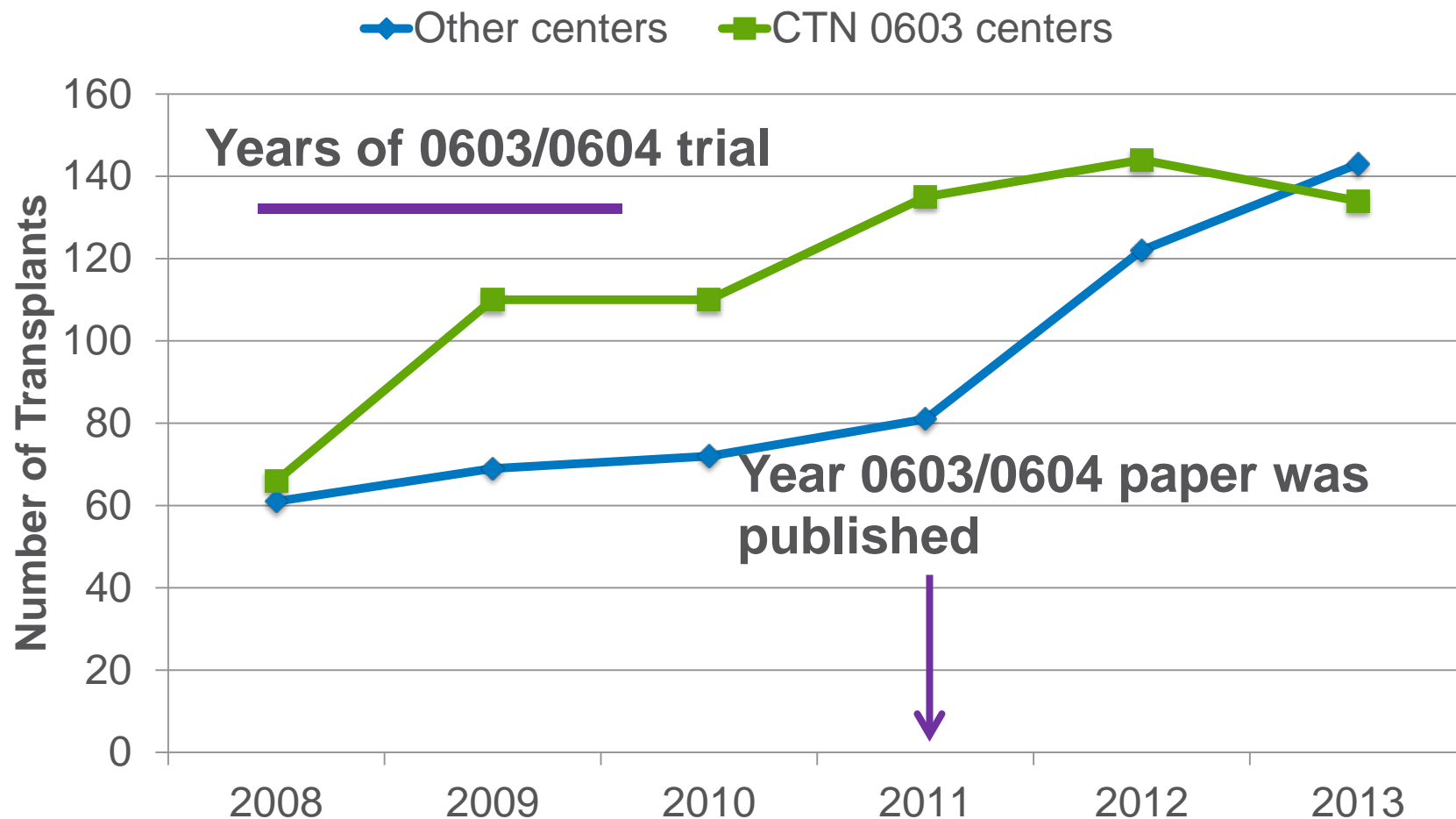


BMT CTN 1101 Hypothesis: Two year PFS is similar after related haplo-BM donor transplantation or after double UCB transplantation.

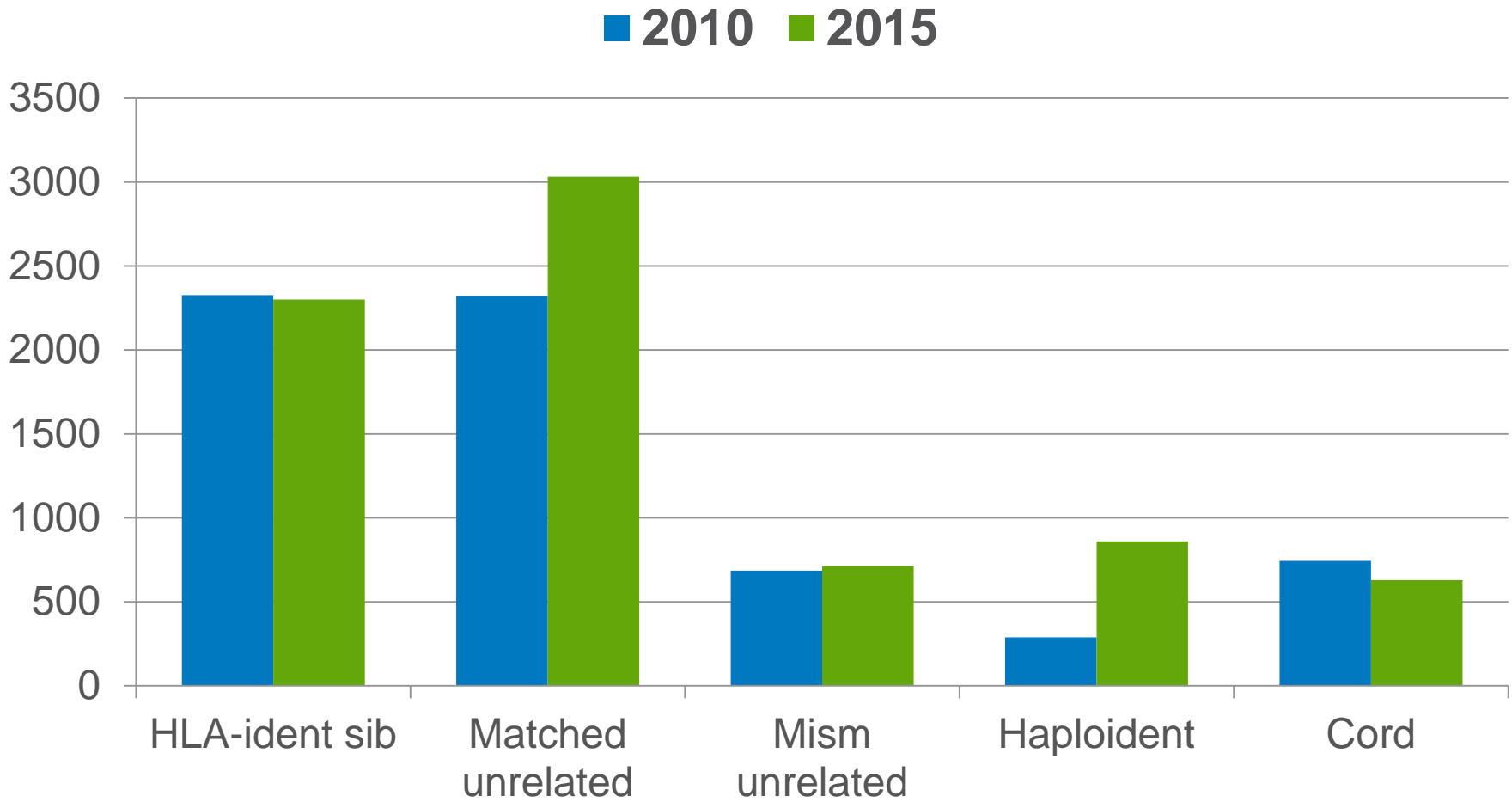
BMT CTN 1101 Schema



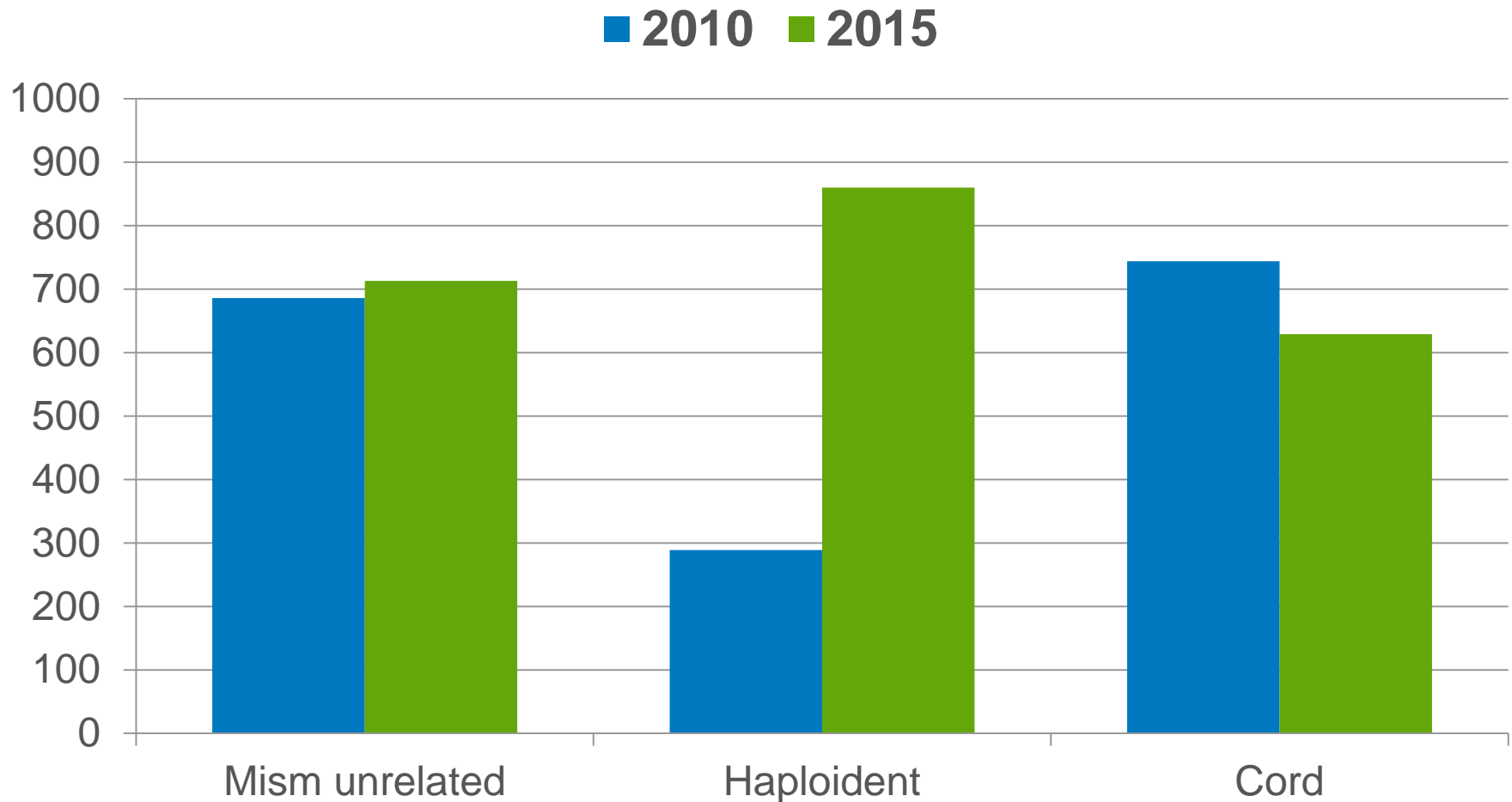
Haploidentical Transplantations for Hematologic Malignancy



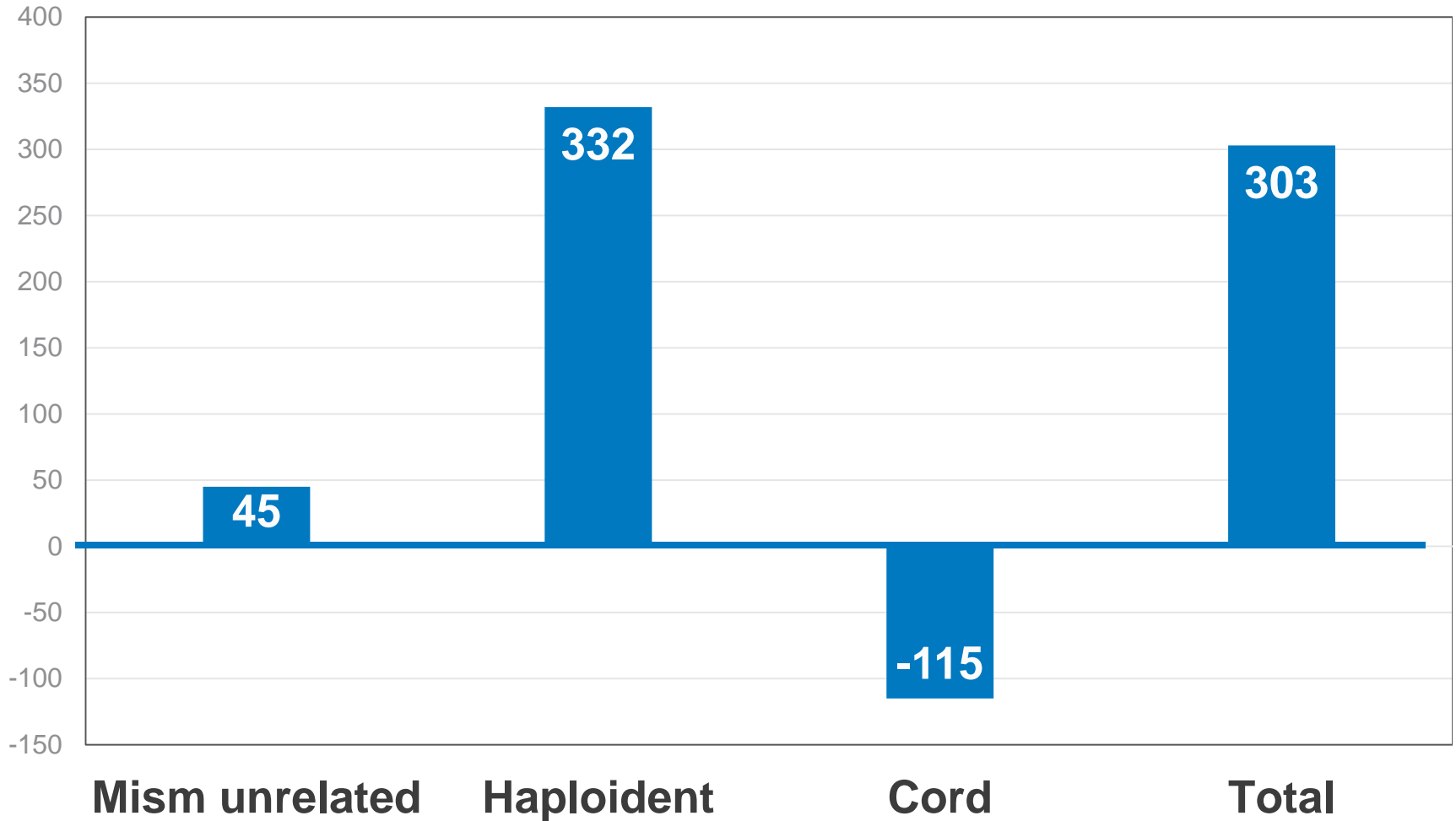
Distribution of Graft Sources in the US: 2015 vs 2010



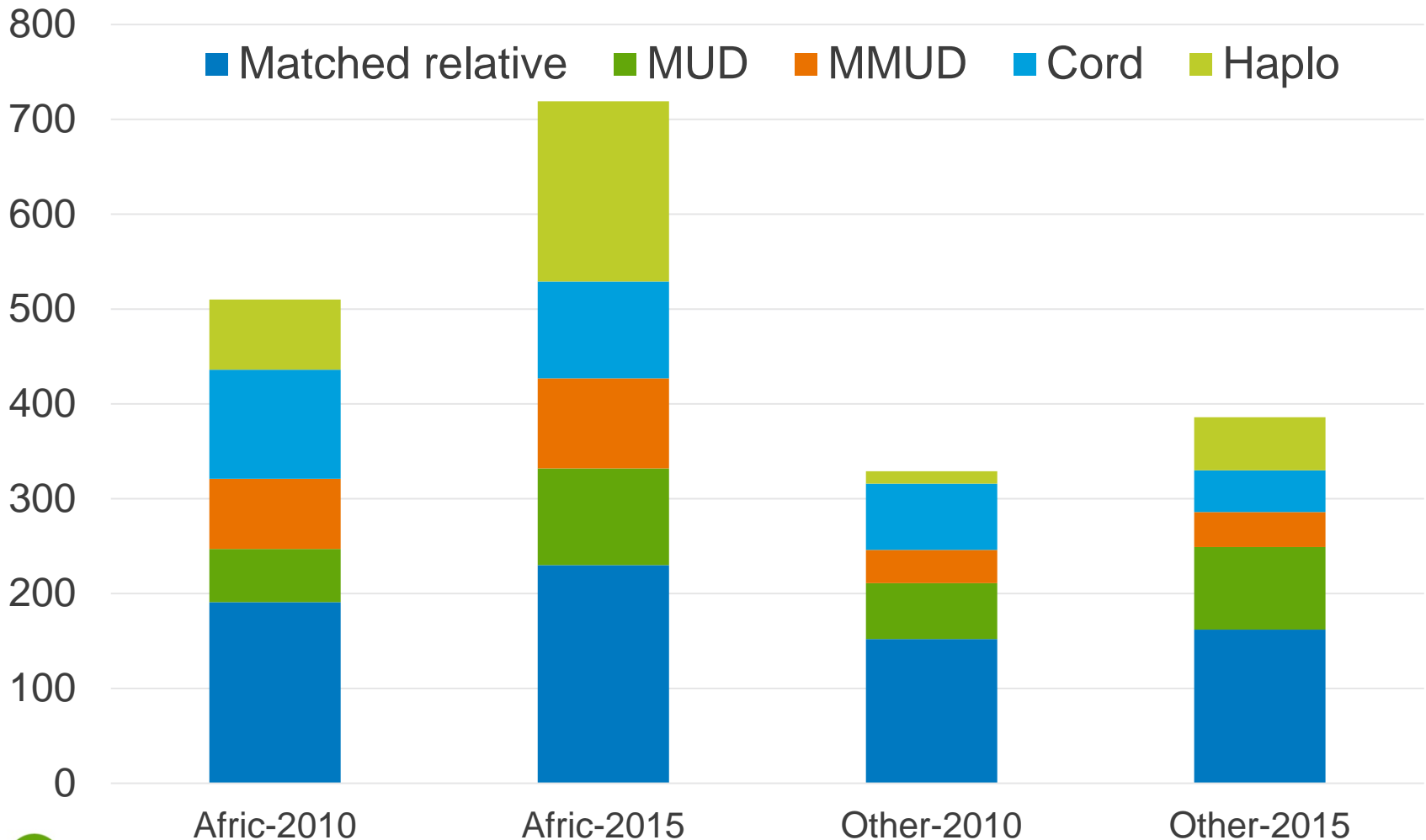
Distribution of Alternative (not an HLA-matched adult donor) Graft Sources



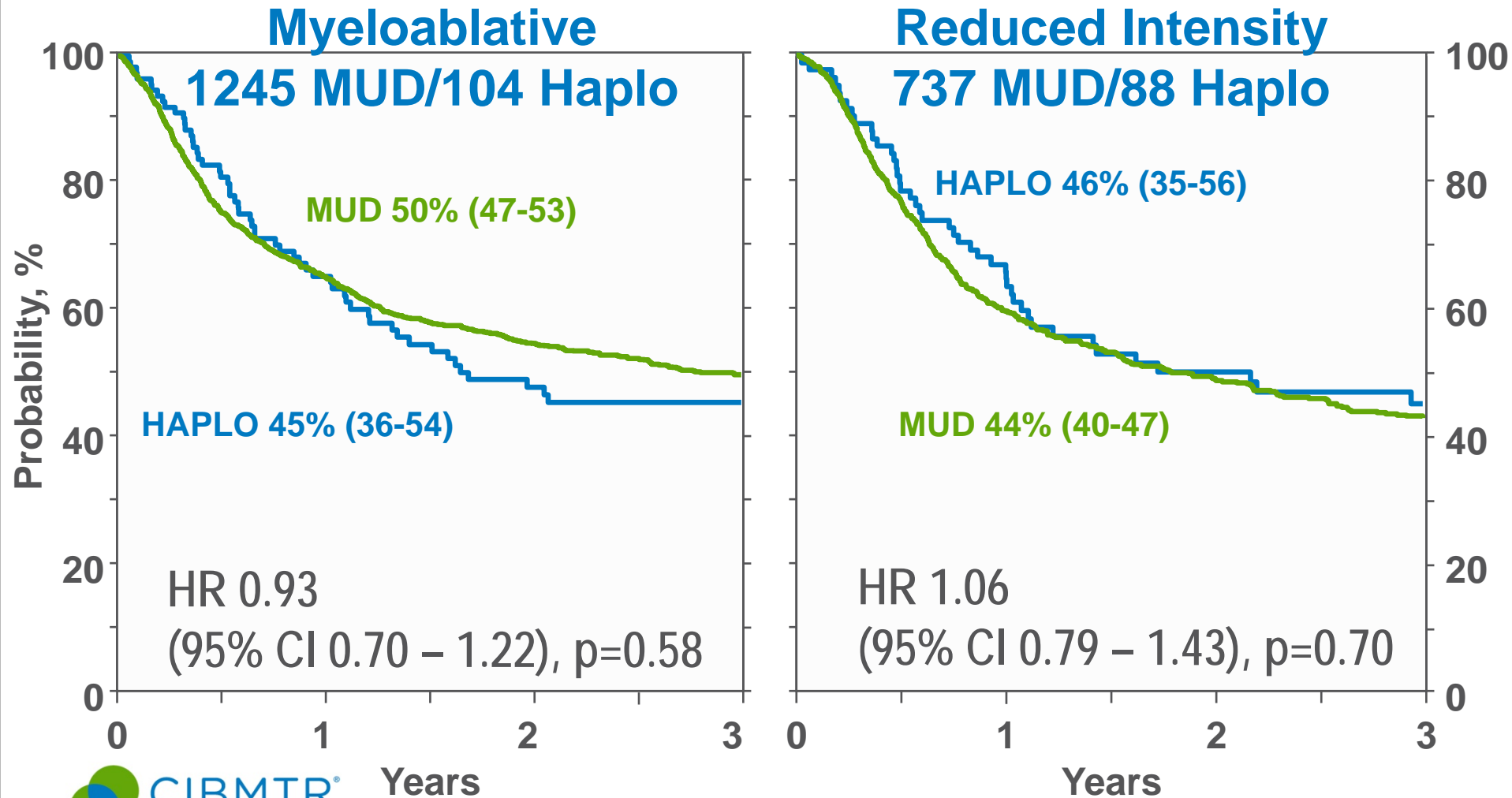
Change From 2010 to 2015



US Transplants in non-Caucasians by Year and Donor Type



Overall Survival Adjusted for Age, Disease Risk, Secondary AML

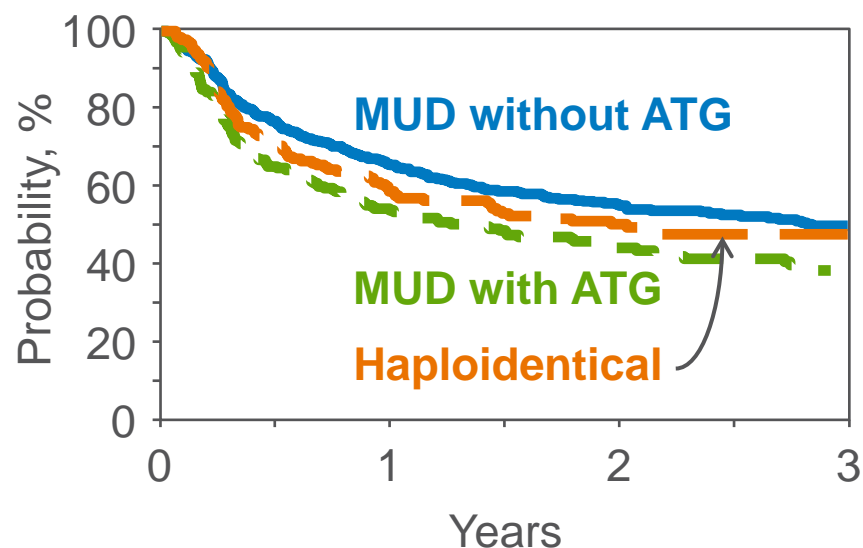


Limitation of this Analysis - POWER

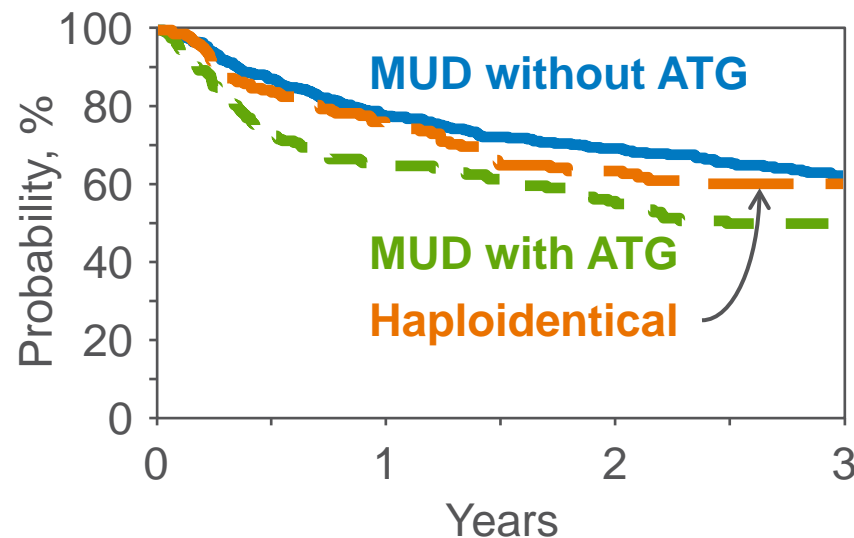
COMPARISONS OF 3-Year SURVIVAL

| | Myeloablative: 1245 MUD/104 Haplo | | | Reduced Intensity: 737 MUD/88 Haplo | | |
|-------------------|--------------------------------------|-------------|-------------|--|-------------|-------------|
| | Point Estimate | Lower Bound | Upper Bound | Point Estimate | Lower Bound | Upper Bound |
| Matched Unrelated | 50% | 47% | 53% | 44% | 40% | 47% |
| Haploidentical | 45% | 36% | 54% | 46% | 35% | 56% |

Progression-free Survival



Overall Survival



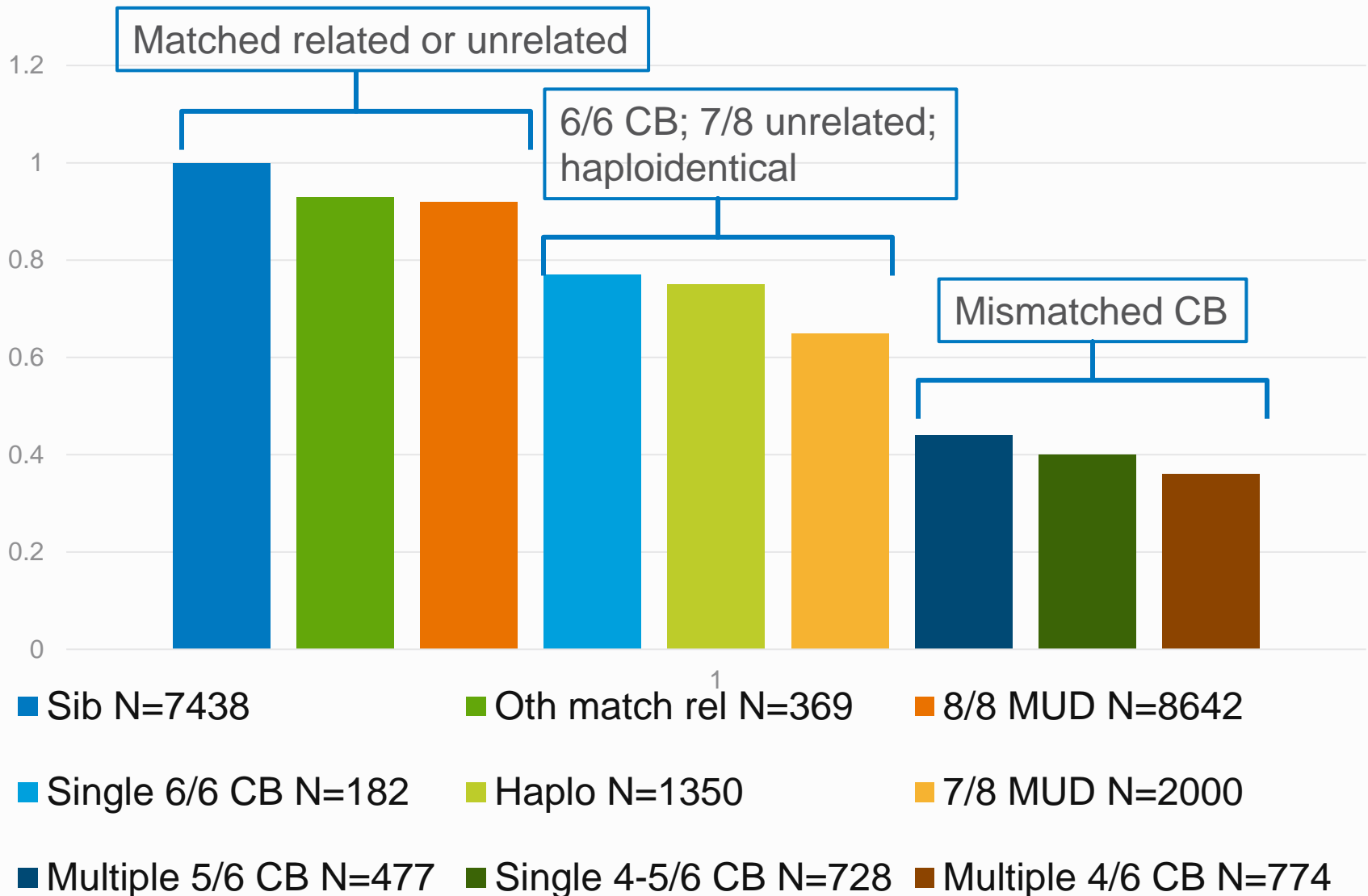
| | 1-year (95% CI) | | | 3-years (95% CI) | | |
|-----|-----------------|-------------------|---------------|------------------|-------------------|---------------|
| | Haplo | URD w/o ATG | URD w/ ATG | Haplo | URD w/o ATG | URD w/ ATG |
| PFS | 58 (51-65) | 65 (61-70) | 53 (47-60) | 47 (40-55) | 49 (44-54) | 38 (31-45) |
| OS | 76 (69-81) | 78 (74-81) | 65 (58-71) | 60 (52-67) | 62 (57-67) | 50 (42-57) |

Haplo with Posttx Cy vs MUD with Calcineurin Inhibitor for Lymphoma

Relative Risk of Mortality and Treatment Failure

| | Mortality | | | Treatment Failure (Prog or Death) | | |
|----------------------|---------------|-------------|-------------|-----------------------------------|-------------|-------------|
| | Relative Risk | Lower Bound | Upper Bound | Relative Risk | Lower Bound | Upper Bound |
| Haplo (N=184) | 1.00 | -- | -- | 1.00 | -- | -- |
| MUD – No ATG (N=491) | 0.83 | 0.62 | 1.11 | 0.90 | 0.71 | 1.16 |
| MUD – ATG (N=291) | 1.25 | 0.92 | 1.69 | 1.16 | 0.92 | 1.69 |

Impact of Donor Type on one-year mortality after HCTs done in 2012-2014

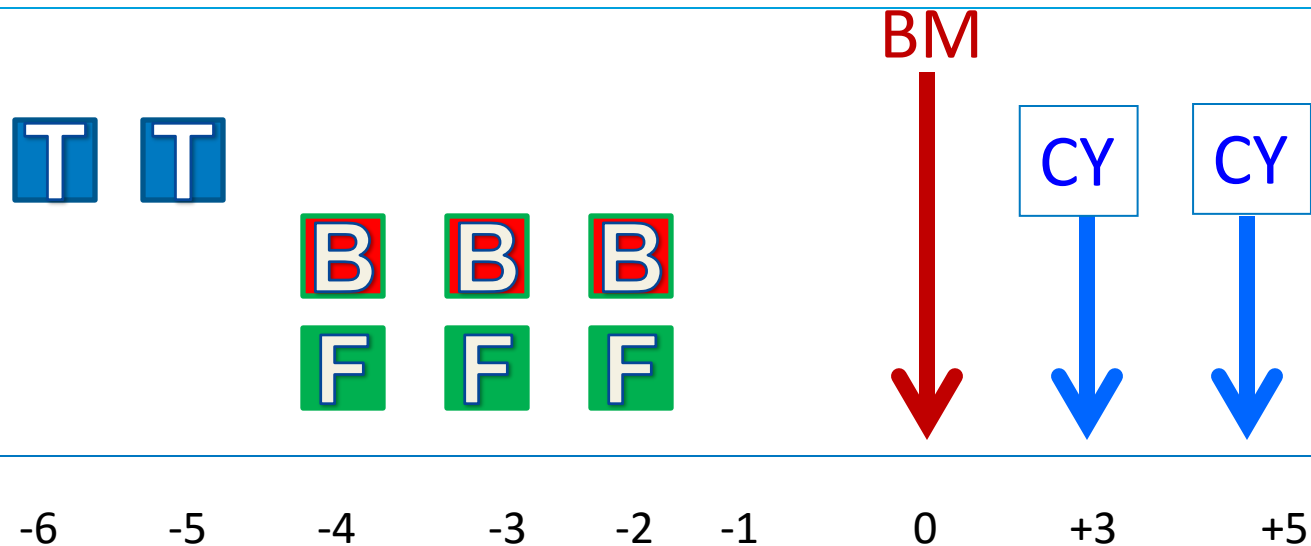


What Do We Know About Haplos with Post-tx Cyclophosphamide?

- Haploidentical HCT can be performed with low GVHD and low early TRM and acceptable 2-3 year overall mortality, when used with postCy
- Haploidentical HCT is increasingly used, predominantly for adult patients who do not have an HLA-matched adult donor – and for some who do

Some Unknowns About Haplos with Post-tx Cyclophosphamide

- Long-term control of malignancy
- Engraftment in non-malignant diseases
- Optimal graft type (PB or BM) or conditioning regimen
- Suitability of Older Donors
 - More graft failure
 - Clonal hematopoiesis more common with older donors – uncertain significance
- Optimal HLA-matching
- Efficacy relative to other graft sources

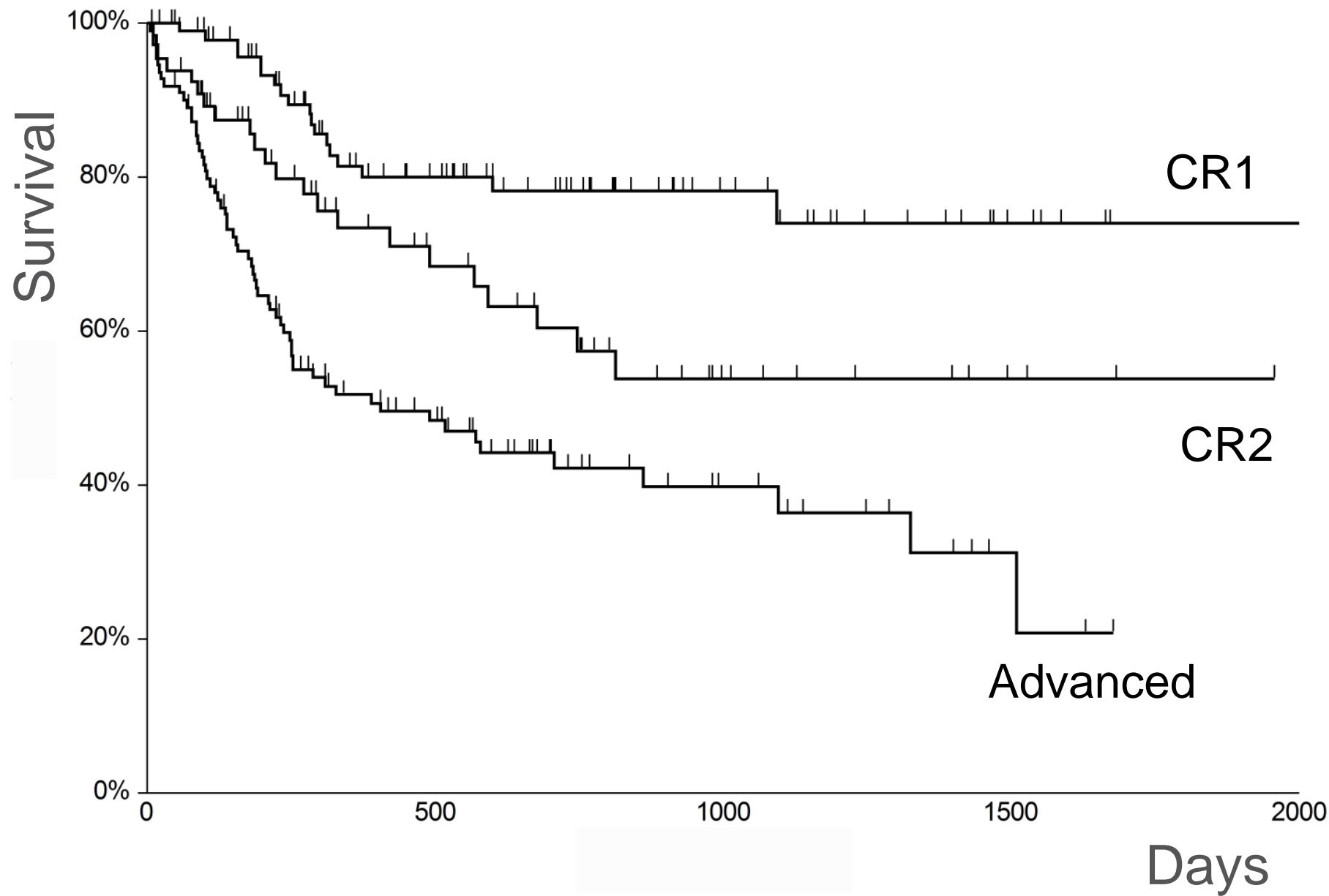


CSA/MMF

Thiotepa 5 mg/kg
Fludarabine 50 mg/mE+2
Busulfan 3.2 mg/kg

TBF (N=214)

| | |
|-------|------------|
| Age | 56 (17-64) |
| AML | 60 |
| ALL | 29 |
| MF | 29 |
| RAEB | 48 |
| Other | 48 |
| CR1 | 70 |



Some Unknowns About Haplos with Post-tx Cyclophosphamide

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Some Other Important Unknowns About Post-tx Cyclophosphamide

- Roles in HLA-mismatched unrelated donor transplantation: could allow selection of donors based on other characteristics (e.g. age) from small donor pool
- Role in HLA-matched related and unrelated donor transplantation
- Viral immunity
- Graft versus tumor effects
- Are the same donor and recipient risk factors important for TRM, relapse and survival

Relative risks and benefits of different cell sources: acquisition issues

| | UD | Cord | Haplo |
|-----------------------------------|--------------------------------------|---------------------|---|
| Suitable HLA match available | 90% Caucasian 16% ethnic minority | Majority | Almost always – but donor-specific HLA antibodies a problem |
| Availability | Variable | Predictable | Generally predictable |
| Speed of acquisition | Medium | Fastest | Usually Fast |
| Cell dose | High | Low | High |
| 2 nd donations/ DLI | Possible | Not possible | Possible |
| Cost | Higher than sibling | Much higher | Equal to sibling |

Relative risks and benefits of different cell sources: Clinical Outcomes

| | UD | Cord | Haplo* |
|---------------|-----------------------------|-----------------------------------|----------------------------|
| Engraftment | Fast | Slow | Fast |
| Graft failure | Rare | More common | Slightly more common |
| GVHD | High (esp with mismatch) | Lower than expected with mismatch | Low due to techniques used |
| Relapse | Possibly lower than sibling | Possibly lower than sibling | Higher |
| Experience | >30 years | >20 years | <10 years |

US National Trials Addressing Some of These Issues

- BMT CTN 1101: Haplo vs Cord with **reduced intensity conditioning**
- BMT CTN 1203: PostCy as GVHD prophylaxis with **matched** donors and **reduced intensity** conditioning
- BMT CTN 1301: PostCy as GVHD prophylaxis with **matched** donors and **myeloablative** conditioning
- BMT CTN 1502: Haplo with PostCy and UCB for **aplastic anemia**
- BMT CTN 1507: Haplo with PostCy in **Sickle Cell Disease**
- RCI BMT MMUD: PostCy as GVHD prophylaxis with **multiply mismatched unrelated donors**

Conclusions

- **Few patients lack an acceptable donor**
- All donors (8/8, 7/8 adult, haplo, cord) produce outcomes that, if not identical, are in same range
 - Maximum differences in survival, compared to 8/8 adult donor, are in the range of 10%-15%
 - Outcomes more driven by patient and disease factors
 - Donor choice may depend on other factors

Conclusions

- Important to track the outcomes of haploidentical transplantation in an organized way so that we can address the many unknowns