**Topics in Regenerative Medicine** Kings College London 21/01/2011



# **CORD BLOOD BANKING**

# OPTIMISING INVENTORIES

## PUBLIC vs PRIVATE

Anthony Nolan Cell Therapy Centre Dr. Susana G Gomez



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# **CORD BLOOD APPROACH**

The first cord blood transplant was performed on a 5 year-old Parisian boy who was suffering from Fanconi's anaemia, using his newborn sister's HLA-matched umbilical cord blood. To date, the recipient is alive and well and free of disease. This achievement began an new era in umbilical stem cell transplantation.

E. Gluckman, H.E. Broxmeyer, A.D. Auerbach, H. Friedman, G.W. Douglas, A. DeVergie, H. Esperou, D. Thierry, G. Socie, P. Lehn, S. Cooper, D. English, J. Kurtzberg, J. Bard and E.A. Boyse (1989).

Hematopoietic reconstitution in a patient with Fanconi anemia by means of umbilical-cord blood from an HLA-identical sibling, N Engl J Med 321, p. 1174.

After the first sibling-donor cord blood transplant, performed in 1988, the National Institute of Health (NIH) awarded a grant to Dr. Pablo Rubinstein to develop the world's first cord blood programme at the New York Blood Centre (NYBC) in order to establish the inventory of non embryonic stem cell units necessary to provide unrelated, matched grafts

### for patients.

Rubinstein P, Rosenfield RE, Adamson JW, Stevens CE. Blood. 1993 Apr 1;81(7):1679-90. Stored placental blood for unrelated bone marrow reconstitution.

Kurtzberg J, Graham M, Casey J, Olson J, Stevens CE, Rubinstein P. Blood Cells. 1994;20(2-3):275-83 The use of umbilical cord blood in mismatched related and unrelated hemopoietic stem cell transplantation.

# **CORD BLOOD APPROACH**

- Today global network of public cord blood banks 500,000 cord blood units.
- 20,000 transplants reported to WMDA to date
- 2009 cord blood became second most common source of transplant stem cells.
- Clinical trials using UCB Stem Cells
  - wide variety of conditions including stroke, spinal injuries, cerebral palsy and a wide variety of other degenerative conditions.



# WHY CORD BLOOD?

Pablo Rubinstein, Human Immunology 67, 398 – 404 (2006)

- a) the logistic advantage avoiding the uncertainties and delays
- b) the better long-term prognosis for well-matched cord blood graft
- c) the larger and ethnically more diverse inventories,,
- d) the accreditation and government regulation assuring improved and standardized quality
- e) potential of improvement by the use of two-unit transplants and possibly by new cell expansion technologies
- (f) more direct collaboration between the banks and the transplant centers.

Cord blood is increasingly replacing donated adult haematopoietic stem cells for unrelated recipients.

- Using unrelated, HLA-well matched cord blood eliminates the unpredictable delays that cause many patients to loose the opportunity of actually receiving a transplant.
- The manifest destiny of cord blood is the replacement of the need for adult unrelated bone marrow donors.



# **STEM CELL SOURCES: COMPARISON**

N=20	MO	SPM	SCU	SPA
%CD34	1.28±0.55	0.19±0.10	0.30±0.21	0.02±0.02
CN/µl	29600±12000	43500±21000	16300±6200	7000±3000
CD34/µl	378±162	82±43	49 <del>±</del> 32	1.4±1.4
CFU-GM/µl	39 <u>+</u> 23	18±10	16±11	0.2 <u>+</u> 0.1
BFU-E/µl	29±17	11±9	5 <u>+</u> 4	0.2±0.1
CFU-Mix/µl	9±8	5±5	6±4	0.1±0.1
CLONE-CFU(%)	20±6	41±14	55±22	36±30
CFU-Mk/µl	15±6	2+2	2±1	ND
BFU-Mk/µl	<u>6±4</u>	0.5±0.7	2+2	ND
CFU-GEMM/µl	2+2	2+2	2+2	ND
CLONE-Mk (%)	<u>6+2</u>	6±3	12+5	ND

# **CORD BLOOD CELLS: A NEW PARADIGM**

• New way in allogeneic haematopoietic stem cell transplantation:

Towards GVL with less GVHD

New way in regenerative

Off-the-shelf natural multipotent embryonic-like stem cells and naïve regulatory T cells



# **CORD BLOOD PROS AND CONS**

- Advantages:
  - Donor safety/attrition
  - 'Off-the-shelf'= time
  - Reduced match stringency= equitable access
  - Long-term Sustainability
- Disadvantages:
  - (Speed) Engraftment
  - DLI

# **FACTS**

Cord blood is a naturally discarded tissue...

That contains a high proportion of circulating haematopoietic stem cells...

Able to reconstitute the haematopoietic tissues...

This properties suggested the development of relatively large inventories of readyto-use, fit-for-purpose tissue products for bone marrow transplantation: CORD BLOOD BANKS



### SOME PATIENTS DO NOT HAVE SUITABLE ADULT DONORS



# **Rapid Availability**









# **COLLECTION**













### STEM CELLS ARE CLEARED FROM PERIPHERAL BLOOD AFTER DELIVERY

	% CD34	CFU-GM/	BFU-E/	CFU-Mix/
N=30		10 <sup>5</sup> CN	10 <sup>5</sup> CN	10 <sup>5</sup> CN
Cord blood Time 0	0,25±0,13	75±37	23±15	49±32
Newborn 3 h.	0,19±0,12	51±31	16±13	34±20
Newborn 12 h.	0,11±0,07	28±17	10±13	23±18
Newborn 30 h.	0,06±0,04	21±15	9±12	17±18
Newborn 60 h.	0,05±0,03	15±16	8±8	13±12

# **PROCESSING FACILITY**



# **DECISSION FLOW CHART**



The sample is received in the cord blood bank. The paperwork and sample are checked. Check none of the packs are leaking Monitor the data logger





The cord blood bag is attached to SEPAX<sup>®</sup> kit using a sterile connection. All the procedure is performed in a close system.





Place the kit on the SEPAX<sup>®</sup> machine and select the proper programme to perform a volume reduction The SEPAX<sup>®</sup> process takes approx. 35 minutes.





The UCB protocol is designed for routine processing of umbilical cord blood (UCB) to concentrate the buffy-coat fraction that is rich in hematopoietic stem cells. Highly efficient separation and TNC recovery is achieved without addition of any sedimentation agent.

The UCB protocol allows a volume reduction of UCB in approximately 35 min to a predetermined fixed volume ranging (21 ml). The input volume range is 35 to 290 ml.



## PROCESSING





Precooling and mix the product for 10 mins. Add the DMSO-dextrane (5ml) with a syringe pump fro 10 mins.







## **DIAGNOSTIC SAMPLES**



From cord plasma and red blood cells (waste product) inoculate volume to perform bacteriology tests.



## **QUALITY CONTROL ASSAYS**



### FLOW CYTOMETRY-CD34<sup>+</sup>



## Virology:

Hep B, Hep C, HIV, HTLV I-II, Syphilis, CMV, Toxo

HLA typing & blood group

Haemoglobinopathies

# **RELEASING TESTS**

## **QUALITY:**

•Safety

•Identity

•Potency



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## What to do:

- •Built quality in front
- •Verify: releasing tests

Safety:

-Serology on cord blood

Identity:

-HLA

- -Blood group and gender
- Maternal haplotype

Potency:

-CFU/CD34/CLONE

-Viability

-NC recovery

-Volume

## **NEEDS AND REQUIREMENTS OF USERS**

The cord blood bank dilemma S Querol et al

Product characteristics	Testing	Sample (type and timing)	Results of product testing
Safety	Infectious diseases—testing required (21 CFR 1271.45 through 1271.90)	Maternal peripheral blood obtained within 7 days of cord blood collection—type and timing required. (21 CFR 1271.80(a) and (b))	All tests negative except non-treponemal test for syphilis when confirmatory test is negative. CMV results are recorded). CMV report
	Sterility—bacterial and fungal cultures—testing required. (21 CFR 211.165(b) and 21 CFR 610.12)	HPC-C <sup>a</sup> (pre- cryopreservation)	No growth
	Haemoglobin	Cord blood <sup>b</sup> or appropriate donor sample obtained at time of cord blood recovery	No homozygous haemoglobinopathy
Purity and potency	Total nucleated cells (TNCs)	HPC-C (pre-cryopreservation)	$\geq 5.0 \times 10^8$ TNC <sup>c</sup> per unit HPC-C
102	Viable nucleated cells	HPC-C (pre- cryopreservation)	$\geq$ 85% viable nucleated cells
	Viable CD34+ cells (flow cytometry)	HPC-C (pre-cryopreservation)	$\geq 1.25 \times 10^6$ viable CD34 + cells <sup>d</sup> per unit HPC-C
Identity	HLA typing	Cord blood	Report
	Confirmatory HLA typing Blood group and Rh type	Attached segment of HPC-C Cord blood	Confirms initial typing Report

Table 2 Required and recommended tests and test results according the US FDA for cord blood and HPC-C (final cord blood product)<sup>46</sup>

Abbreviation: FDA = Food and Drug Administration.

<sup>a</sup>Sample may be obtained before or after addition of the cryoprotectant.

<sup>b</sup>Cord blood = cord blood before undergoing volume reduction.

<sup>c</sup>Based on 20 kg recipient, a target dose of  $\ge 2.5 \times 10^7$  nucleated cells per kg and 70% post-thaw recovery  $= 1.7 \times 10^7$  nucleated cells per kg. <sup>d</sup>Based on CD34+ cells  $\ge 0.25\%$  of TNC before freezing.



npg

5

## **QMS: CONTINOUS IMPROVEMENT:**

## i.e. Bag issues





## i.e. Transport issues

## **MVE IATA Shipper**



- Limitations of adult registries
  - Non-equitable / Imbalanced access to the therapy
    - Repertoire
    - Time
- Cord blood could be a complementary tissue for haematopoietic stem cell transplantation:
  - Impact of accessing non predominant population
  - Less HLA stringency due to immunological naiveté
  - Keeping goals:

Reconstitution of a healthy haematopoietic system

Cellular immunotherapy against malignancies

## LIMITATIONS OF THE ADULT BONE MARROW REGISTERS: ACCESS AND TIME



Querol S, Rubinstein P, Marsh SG, Goldman J, Madrigal JA. Cord blood banking: 'providing cord blood banking for a nation'. Br J Haematol 2009;147(2):227-35

## **TRANSPLANT AND ETHNICITIES**

Ethnicities*	Census 2001	ANT Donors	Searches for	Transplants	Cords per
			<b>UK Patients</b>	per searches	transplants
Black	2.2	3.7	2.5	22	40
Asian	4.3	3.1	4.5	12	40
Oriental	0.4	0.2	0.4	n/a	n/a
NWE	88.8	91	86.9	34	7
n-NWE	2.6	n/a	5.3	n/a	n/a
Other	1.7	2	0.4	n/a	n/a

\*16% of unknown ethnicities were excluded in the percentage assignment within 1079 searches performed during 2005

Querol S, Rubinstein P, Marsh SG, Goldman J, Madrigal JA. Cord blood banking: 'providing cord blood banking for a nation'.

Br J Haematol 2009;147(2):227-35

Ethnicities	Census 2000	NMDP Donors	Searches for US	Estimated donor
			Patients	available
Black	12%	14%	7%	34%
American Indian	1%	2%	0.3%	n/a
Asian/Pacific	4%	11%	5%	44%
Hispanic	12%	15%	6%	47%
White	71%	58%	82%	65%

Source: Transplantation, Volume 78(1), 15 July 2004, pp 89-95

## **SIZE FOR UK**

Probability to find a least 1 HLA-A,B low and DRB1 high match



Querol S, Mufti GJ, Marsh SG, Pagliuca A, Little AM, Shaw BE, Jeffery R, Garcia J, Goldman JM, Madrigal JA. Cord blood stem cells for hematopoietic stem cell transplantation in the UK: how big should the bank be? Haematologica. 2009, **Topics in Regenerative Medicine** 94(4):536-41



- Preference of bigger units make obsolete many units stored in the cord blood banks (internal competition)
- Prospective thresholds could raise until a level that makes new units highly competitive:
  - NC12x10<sup>8</sup> and CD34 4x10<sup>6</sup>
- Unfortunately, this makes necessary large collection programmes that are highly inefficients (up to 80% of units are discarded)



### WHY NATIONAL PROGRAMMES?

- Meeting National Regulations
- Pre-defined Quality
- Easy Logistics
- Better Feedback
- R&D using CB surplus
- Economic control (auto-sufficiency)



## **PRIVATE vs PUBLIC CORD BLOOD BANKS**

Same concept but different targets:

- Public CBB: A new allogeneic network, focused in the product and in the recipient safety
- Private CBB: First speculative application of the Regenerative Medicine principle, focused in the donor



Proc. Natl. Acad. Sci. USA Vol. 86, pp. 3828-3832, May 1989 Medical Sciences

## Human umbilical cord blood as a potential source of transplantable hematopoietic stem/progenitor cells

HAL E. BROXMEYER<sup>\*†‡§</sup>, GORDON W. DOUGLAS<sup>¶</sup>, GIAO HANGOC<sup>\*‡</sup>, SCOTT COOPER<sup>\*‡</sup>, JUDITH BARD<sup>||</sup>, DENIS ENGLISH<sup>\*‡\*\*</sup>, MARGARET ARNY<sup>¶</sup>, LEWIS THOMAS<sup>||††</sup>, AND EDWARD A. BOYSE<sup>||</sup>

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Contributed by Edward A. Boyse, February 9, 1989

blood intused into donors immediately after thawing would not present serious problems.

The final question of whether human cord blood cells can successfully reconstitute a human subject is the subject of an international and multiinstitutional collaboration (E. Gluckman, H.E.B., A. D. Querbach, H. S. Friedman, G.W.D., A. Devergie, H. Esperou, D. Thierry, G. Socie, P. Lehn, S.C., D.E., J. Kurtzberg, J.B., and E.A.B., unpublished research).

We thank Linda Cheung for typing the manuscript. These studies were supported by a grant from the Biocyte Corporation (New York, NY) and by Public Health Service Grants CA36464 and CA36740 (to H.E.B.) from the National Cancer Institute.

**E. Gluckman, H.E. Broxmeyer, A.D**. Auerbach, H. Friedman, **G.W. Douglas**, A. DeVergie, H. Esperou, D. Thierry, G. Socie, P. Lehn, S. Cooper, D. English, J. Kurtzberg, J. Bard and **E.A. Boyse** (1989). Hematopoietic reconstitution in a patient with Fanconi anemia by means of umbilical-cord blood from an HLA-identical sibling, N Engl J Med 321, p. 1174.









# **BUT, WHAT IS A CORD BLOOD BANK?**

2010: Standards for Cord **Blood Services** Cord Blood Accreditation Manual Fourth Edition

INTERNATIONAL STANDARDS FOR CORD BLOOD COLLECTION, BANKING, AND RELEASE FOR ADMINISTRATION ACCREDITATION MANUAL Guidance to Accompany the NetCord-FACT International Standards for Cord Blood Collection, Banking, and Release for Administration, Fourth Edition Fourth Edition January 2010 NOTICE These Standards are designed to provide minimum guidelines for Cord Blood Banks, facilities, and individuals performing cord blood collection, processing, testing, banking, listing, search, selection, reservation, release, and distribution, or providing support services for such procedures. These Standards are not intended to establish best practices or include all procedures and practices that a Cord Blood Bank, facility, or individual should implement if the standard of practice in the community or Applicable Law establishes additional requirements. Each Cord Blood Bank, facility, and individual should analyze its practices and procedures to determine whether additional standards apply. The Foundation for the Accreditation of Cellular Therapy and NetCord disclaim any responsibility for setting maximum standards and expressly do not represent or warrant that compliance with these Standards is an exclusive means of complying with the standard of care in the industry or community. COPYRIGHT @ 2010 COPYRIGHT © 2010 FOUNDATION FOR THE ACCREDITATION INTERNATIONAL NETCORD OF CELLULAR THERAPY (FACT) FOUNDATION



#### JAMA. 1995 Dec 13;274(22):1783-5. Ethical aspects of banking placental blood for transplantation. Sugarman J, Reisner EG, Kurtzberg J.

Program in Medical Ethics, Duke University Medical Center, Durham, NC 27710, USA.

Transplantation of blood cells harvested from the umbilical cord immediately after birth has been effective in repopulating the bone marrow. These placental blood transplantations may be safer than conventional bone marrow transplantations and may suspend the need to harvest bone marrow, a process fraught with difficulties. Further understanding and advancement of this emerging technology require developing large banks of placental blood. In this article, we examine some of the ethical issues associated with placental blood banking, including (1) questions about **ownership** of the tissue, (2) the necessity and nature of obtaining **informed consent** from parents for harvesting placental blood and the information-gathering process associated with it, (3) **obligations to notify parents** and children of the results of medical testing for infectious diseases and genetic information, (4) matters of **privacy and confidentiality** related to such information, and (5) the need for fair and **equitable harvesting of and access** to placental blood.

### **RECOMMENDATIONS OF EXPERTS IN BIOETHICS**

# European group on ethics in science and new technologies to the European commission published on 2004

- 1) On commercial cord blood banks: as they sell a service, which has presently, no real use the activities of these banks **should be discouraged**.
- 2) On collection: The collection must not disturb the process of delivery and should not present any risks.
- 3) On directed cord blood banks: It should be proposed to them that storage should be by public cord blood banks.
- 4) On promoting public cord blood bank
- 5) On autologous collection in public cord blood banks: In the future, should the development get to the point where the use of one's own cord blood cells may be of value, the storage should not be a service left to commercial banks but should be taken over by the public sector in order to ensure fair access to healthcare services for everybody.
- 6) On encouragement of a single point of access between adult registries and cord blood banks



# **BIOETHICS AND CORD BLOOD**

- AUTONOMY: Each individual has the **right to freely choose their** own course of action and to choose what happens to them.
- NON-MALEFICENCE: Do not harm!
- BENEFICENCE: Actions taken should **do good**



# **LEGAL RATHER THAN ETHICAL ISSUES**

N. Engl. J. Med. 1999 May 13;340(19):1521-4.
Waste and longing--the legal status of placental-blood banking.
Annas GJ.
Health Law Department, Boston University School of Public Health, USA.

•Legal Model: Solid Organ vs Blood

•Legal issues: Ownership, Consent and Privacy

## THE WOMEN'S DILEMMA

To release or to maintain ownership?

## THE WOMEN'S PROBLEM

Universal access to private donation but 2000€

Reduced access to public donation (0.1% population)

The role of perinatal care providers

J Perinat Med. 2007 May 21 (on line). Umbilical cord blood collection: do patients really understand?

Fox NS, Stevens C, Ciubotariu R, Rubinstein P, McCullough LB, Chervenak FA. Department of Obstetrics and Gynecology, Weill Medical College of Cornell University, New York, USA.

Women are poorly informed about cord blood banking. The decision making process should be conducted with the goal of ensuring every pregnant woman the opportunity to make a well informed decision about cord blood banking

# **COMMERCIAL BANK PITFALLS**

### (Fox et al, 2007)

- 1) Commercial CBB should not represent the service they sell "doing everything possible"
- 2) More than 95% allogeneic searches find a potential donor
- 3) Few cases of really autologous transplantation has been reported (mainly there are related)
- 4) If stored publicly, 90% of units are available after a 10-years period
- 5) Collection in a non-trained environment could increase the risk of neonatal anemia (early clamping)
- 6) Collectors should disclose any benefit received from the company
- 7) Commercial bank for Regenerative Medicine relies on expansion technology still unsuccessful

## FACTS

- Cord blood is a natural cellular product, extensively harvested in a Cord Bank
- More than half of the donated products are disposed in spite having substantial numbers of functional cells
- An effort must be done to develop clinical and research applications for these products that maintain the altruistic will of the donors such is to be transplanted to any patient in need



## CONCLUSION: THE CORD BLOOD MOVEMENT

- Cord blood represents an actual (real) product (not virtual lists)
- Ethical (naturally discarded product)
- Cost-benefit (lower inventories, less maintenance, highest efficiency and selfsufficiency)
- Potential to expand access, improve outcomes and open new doors in therapy (new paradigm)
- Potential to develop a biotechnological and biopharmaceutical platforms (biotechnology/biopharmacy)
- Reconcile public and private interests (same answer to different questions)



**Prof Alejandro Madrigal Dr Sergio Querol** Susana Garcia Gomez **Robert Davy Dr Roger Horton Daniel Gibson Terie Duffy & dedicated collectors at Kings** Pam Sami Linda Moss & dedicated collectors at Leicester **Chris Leonforte** Laura Fry All Anthony Nolan Cord Blood Programme donors **Kieran Herrity Guy Parkes** 



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